

STAT 514 Homework 11

Due: Dec 7 (Optional)

Heat treating is often used to carbonize metal parts, such as gears. The thickness of the carbonized layer is a critical output variable from this process, and it is usually measured by performing a carbon analysis on the gear pitch (the top of the gear tooth). Six factors are to be studied: A=furnace temperature, B=cycle time, C=carbon concentration, D=duration of the carbonizing cycle, E=carbon concentration of the diffuse cycle, and F =duration of the diffuse cycle. Suppose a 2^{6-2} fractional factorial design will be used for the experiment.

Part I: There are several ways to construct a 2^{6-2} design. The general strategy is as follows. First, A, B, C and D form a 24 full factorial design (basic design) . Second, alias E and F with some high order effects of the basic design. Let d_1 denote the design generated by E = AB and F = CD; and d_2 the design generated by E = ABC and F = BCD.

- (a) Derive the complete defining relation for d_1 . Based on the complete relation, work out the alias structure of d_1 . What is the resolution of d_1 ? What is its wordlength pattern?
- (b) Suppose effects of order three or higher are negligible, that is, they can be assumed to be zero. How many main effects and two-factor interactions in d_1 are clearly estimable?
- (c) Repeat (a) and (b) for d_2 .
- (d) Which design will you choose for the experiment? why? Does d_1 has any advantages at all over d_2 ? Explain.

Part II: A quality improvement team has chosen one of the above designs for the experiment. The design matrix and output are given below.

- (e) Which design has been used by the team?
- (f) Estimate the factorial effects, then generate a QQ plot to identify potentially important effects.
- (g) What did these estimates really estimate? (list them for the important effects only)
- (h) Assume that effects of order 3 or higher are negligible, list all possible models (with potentially important effects). Can you use the fundamental principles to determine which model is most likely?

| Standard order | run order | A | B | C | D | E | F | pitch |
|----------------|-----------|---|---|---|---|---|---|-------|
| 1 | 5 | - | - | - | - | - | - | 74 |
| 2 | 7 | + | - | - | - | + | - | 190 |
| 3 | 8 | - | + | - | - | + | + | 133 |
| 4 | 2 | + | + | - | - | - | + | 127 |
| 5 | 10 | - | - | + | - | + | + | 115 |
| 6 | 12 | + | - | + | - | - | + | 101 |
| 7 | 16 | - | + | + | - | - | - | 54 |
| 8 | 1 | + | + | + | - | + | - | 144 |
| 9 | 6 | - | - | - | + | - | + | 121 |
| 10 | 9 | + | - | - | + | + | + | 188 |
| 11 | 14 | - | + | - | + | + | - | 135 |
| 12 | 13 | + | + | - | + | - | - | 170 |
| 13 | 11 | - | - | + | + | + | - | 126 |
| 14 | 3 | + | - | + | + | - | - | 175 |
| 15 | 15 | - | + | + | + | - | + | 126 |
| 16 | 4 | + | + | + | + | + | + | 193 |

- (i) Use ANOVA or regression to justify the final model you have chosen.
- (j) Use proper residual analyses to check assumptions.