

# STAT 514 Homework 11

Due: Dec 7 (Optional)

Heat treating is often used to carbonize metal parts, such as gears. The thickness of the carbonized layer is a critical output variable from this process, and it is usually measured by performing a carbon analysis on the gear pitch (the top of the gear tooth). Six factors are to be studied: A=furnace temperature, B=cycle time, C=carbon concentration, D=duration of the carbonizing cycle, E=carbon concentration of the diffuse cycle, and F =duration of the diffuse cycle. Suppose a  $2^{6-2}$  fractional factorial design will be used for the experiment.

Part I: There are several ways to construct a  $2^{6-2}$  design. The general strategy is as follows. First, A, B, C and D form a 24 full factorial design (basic design) . Second, alias E and F with some high order effects of the basic design. Let  $d_1$  denote the design generated by E = AB and F = CD; and  $d_2$  the design generated by E = ABC and F = BCD.

- (a) Derive the complete defining relation for  $d_1$  . Based on the complete relation, work out the alias structure of  $d_1$  . What is the resolution of  $d_1$  ? What is its wordlength pattern?
- (b) Suppose effects of order three or higher are negligible, that is, they can be assumed to be zero. How many main effects and two-factor interactions in  $d_1$  are clearly estimable?
- (c) Repeat (a) and (b) for  $d_2$ .
- (d) Which design will you choose for the experiment? why? Does  $d_1$  has any advantages at all over  $d_2$  ? Explain.

Part II: A quality improvement team has chosen one of the above designs for the experiment. The design matrix and output are given below.

- (e) Which design has been used by the team?
- (f) Estimate the factorial effects, then generate a QQ plot to identify potentially important effects.
- (g) What did these estimates really estimate? (list them for the important effects only)
- (h) Assume that effects of order 3 or higher are negligible, list all possible models (with potentially important effects). Can you use the fundamental principles to determine which model is most likely?

Standard order	run order	A	B	C	D	E	F	pitch
1	5	-	-	-	-	-	-	74
2	7	+	-	-	-	+	-	190
3	8	-	+	-	-	+	+	133
4	2	+	+	-	-	-	+	127
5	10	-	-	+	-	+	+	115
6	12	+	-	+	-	-	+	101
7	16	-	+	+	-	-	-	54
8	1	+	+	+	-	+	-	144
9	6	-	-	-	+	-	+	121
10	9	+	-	-	+	+	+	188
11	14	-	+	-	+	+	-	135
12	13	+	+	-	+	-	-	170
13	11	-	-	+	+	+	-	126
14	3	+	-	+	+	-	-	175
15	15	-	+	+	+	-	+	126
16	4	+	+	+	+	+	+	193

- (i) Use ANOVA or regression to justify the final model you have chosen.
- (j) Use proper residual analyses to check assumptions.